



GUIDE TO THE PRESENTATION OF THESES

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Please send any questions or feedback to: library@massey.ac.nz

UNIVERSITY THESIS SUBMISSION REQUIREMENTS

The University Calendar provides regulations regarding theses at <http://calendar.massey.ac.nz>. For theses worth 90 credits or more, follow the instructions below.

Masterate

Master's students and supervisors should refer to the Master's [Thesis submission and publishing](#) page for the most recent information, and to download the forms required for thesis submission

Doctoral

Doctoral students should refer to the [Your Doctoral Journey Stream site](#).

Supervisors should refer to [OneMassey](#).

General notes on the digital copy

To be acceptable for addition into Massey Research Online the digital thesis supplied after examination **must** be in its final form. No comments or track changes should be left in the document.

The thesis should consist of **a minimum number of files** as this reduces conversion time, and the risk that the files are compiled in the wrong order or missed completely. Ensure that the file is not security protected or encrypted. This is so that an abstract can be extracted and file properties may be added.

****Text files***

Text files must be in either Microsoft Office Word compatible, or Adobe PDF format, as below. If in Word, the Library will reformat to PDF for uploading to MRO. The documents must not be secured in any way, as this prevents the repackaging of the document for MRO.

Supplementary thesis material

Any supplementary thesis material must be in a standard file format.

Example accepted file formats are:

- *For Data: Excel*
- *For Audio: OGG, MP3, MP4*
- *For Video: AVI, MPEG, WMV, MP4*
- *For *Digital Sheet Music (Scores): SIB (Sibelius), Finale files. Please note that digital sheet music files (such as Sibelius or Finale files) must be provided in a PDF format as well.*

Please provide keywords for your thesis to describe the main focus of your research. They will help your thesis be found in internet searches.

Ensure that you include the signed form [RF7: Copyright Form and Declaration Confirming Content of Digital Version of Thesis](#). Articles or conference papers that you have authored can only be included in your thesis on MRO if you have received copyright permission, as acknowledged in your declaration (refer to the Copyright section below). Otherwise, if you wish to make your papers publicly available, an accepted manuscript or post-peer-reviewed version, (not the publisher's PDF), should be included with your thesis. Copyright will be checked by the Library and a separate, linked record may be added.

Storage and availability of the thesis

The Library receives digital copies only of both Master and Doctoral theses. The digital copy will be included in the institutional repository, Massey Research Online (<http://mro.massey.ac.nz>), the open access digital archive which stores the research and scholarship of Massey University.

Theses worth less than 90 credits

Theses of fewer than 90 credits are not required to be lodged in the Library. Some theses may be accepted, in digital format only, depending on grade and credit level.

FORMAT AND DESCRIPTION

Theses and dissertations shall, unless the University Librarian approves otherwise, conform to the following requirements. If doing a thesis with publications doctoral students should refer to [Stream](#), and supervisors should refer to [OneMassey](#). Please also refer to the Copyright and Embargoing your Thesis sections below.

ORDER

A. Preliminaries

- Title page
- Abstract
- Preface and/or acknowledgements
- Table of contents
- List of illustrations, tables, etc.

B. Text

- Main body of the work
- Footnotes

Many theses have the sections in this order:

- Introduction
- Literature review
- Materials and methods

- Results
- Discussion
- Conclusion

Give the chapters meaningful titles containing words relevant to your thesis. This makes it easier for potential readers to find it when searching online.

C. Reference Material

- Bibliography
- Appendices
- Index

FORMAT

Title page

The example below shows the wording and layout of the Title page:

[Title]

A thesis [or dissertation, etc.] presented in partial fulfilment of the requirements for the degree [or diploma, etc.] of

[name of qualification]

in

[subject]

at Massey University, [campus name, e.g. Auckland, Manawatū], New Zealand.

[Author's full name]

[Year]

- Title of the thesis centred in the top third of the page.
- Degree, subject and campus details centred in the middle third of the page.
- Author's name and date centred in the lower third of the page.

Year

The year the thesis reached its final version, including any emendations required by the examinations process.

Abstract

A short abstract (not exceeding 350 words), suitable for publication, shall be included in the thesis.

Preface and/or acknowledgements

The preface may describe briefly the scope and purpose of the research. Persons or institutions acknowledged are usually only those who have contributed to the content of the thesis. The extent of their assistance should be indicated. The acknowledgements should also include a statement indicating, if relevant, that approval for the research has been obtained from the appropriate University Ethics Committee for the experiments described in the thesis.

Table of contents

The table of contents lists all significant sections in the order they appear and includes page numbers.

List of illustrations, tables

This is a listing of all illustrative material, photographs, figures and/or tables, in the order they appear, with page numbers.

Footnotes

Footnotes are used for acknowledging quotations and sources of facts, or for elaboration of a particular point. They are usually numbered consecutively through each chapter and should be detailed at the foot of each page or at the end of the chapter in which they occur.

Bibliography

This should include works cited in the text and footnotes, as well as those of more general significance. Consistency in style of entry is essential; refer to the Style Guide for your chosen style and consult your supervisor. Style guides may be held by the Library, or available on the web.

Appendices

Appendices include material which applies to the thesis as a whole or to a particular chapter, e.g. questionnaires, data sheets, etc. Their function is to keep the text uncluttered and reference to them should be made at the appropriate place in the text. If raw data on which the research is based is included, it should be part of the appendices.

Index

This is a detailed alphabetical listing of the subjects, people, places, etc. referred to in the thesis. An index is optional but can greatly enhance ease of use.

LAYOUT

Line spacing and fonts

For doctoral examination, double line spacing is recommended for text, and the final copy may have 1.5 or double line spacing. Masterate students should follow the recommendations of their College. For students who wish to print their own personal hardcopy, we recommend margins of 2.5 cm at the top and bottom, and inside margins of 3 cm and outside margins of 2 cm. Font size should be neither too large nor too small. A size of 10 - 12 point is recommended.

Underlining & italics

These are used for emphasis and for special terms. Titles of books and periodicals are normally in italics.

Figures

Photographs, maps and graphs should be numbered in Arabic numerals.

Text references are to be made in brackets and should precede the figure. Where they are not the author's own work, the source of maps, tables, photographs, etc. should be acknowledged, and copyright permission obtained. Refer to the Copyright section above.

Pagination

Preliminary pages, beginning with the title page, should have page numbers in lower case Roman numerals. The main text of the thesis should have page numbers in Arabic numerals. Page numbers should be located in a consistent position throughout the text.

Inserted maps, diagrams, etc. should bear a specific identification of their proper location in the text (e.g. facing p.7 or between pp.15 and 16).

COPYRIGHT

You should read carefully Massey University's Student Copyright Guide, especially the section "Using Images in a master's or PhD thesis", and ensure that your thesis complies with those guidelines. Copyright of a thesis usually resides with the author and is governed by the Copyright Act 1994. Unless you state otherwise, the Library may copy all or part of your thesis and provide it to another institution for the purposes of research and private study.

You may assert your moral right to be identified as the author of the work in a statement to this effect on the verso of the title page.

Third party copyright

Your thesis may include material where you are not the copyright holder, or not the sole copyright holder. This material will usually be either

- sections of the thesis written by yourself, but also published as journal articles or book chapters and where copyright was transferred to a commercial publisher. This will be the case with thesis with publications.

- Material (such as photographs, drawings, entire poems) belonging to another party, of which you reproduced the entire work or more than the proportion allowed by the [Copyright Act 1994](#). **Note that this includes diagrams and other datagraphics as these are considered entire works.**

If you wish to include such third party content in your thesis, you will need written permission from the copyright holder.

Who is the copyright holder?

- For **books, book chapters, and journal articles**, the publisher holds copyright.
- For **reports**, the issuing body holds copyright.
- For **internet resources**, the author or website owner usually holds copyright.

For the formats listed above, check the relevant websites for copyright statements and requirements.

- For **audio and visual material (including sound recordings, games, software, video)**, the copyright holder can vary. Look for copyright statements to identify who to contact.
- For **privately or non-commercially printed books**, the author holds copyright. Look for contact details in the book or use directories or electoral rolls.
- For **photos of artworks**. If the photos first appeared in a book, please contact the publisher of the book in the first instance. If you took the photos of the original artworks and the creator is alive, seek permission directly. If the creator died less than 50 years ago, the works are still in copyright, and permission must be sought from the creator's estate.

When seeking permission

When writing to copyright holders, include the following information:

- your name
- the name of the institution where you are studying, i.e. Massey University
- the degree you are completing
- your thesis topic
- the name of the work you wish to use, how much you wish to use and how you wish to use it. Include full reference details.
- a request for permission to make it available online in an open access institutional repository.

You can find a template for a copyright permissions letter in Appendix 1 of Tony Millet's *Copyright guidelines for research students*.

You should keep copies of permissions granted. See Appendix 2 of the *Copyright guidelines for research students* for a sample copyright permissions log.

Frequently asked questions

I've published various journal articles that make up part of my thesis – can I include these?

You will need to check whether the journal publisher(s) allow these articles to be placed in an online institutional repository. The Copyright Transfer Agreement (CTA) you signed when the article was accepted should include this. If not check the journal on Sherpa-ROMEO (<http://www.sherpa.ac.uk/romeo/>) to see if they have a general policy.

I've checked but I can't see anything helpful either in the CTA or Sherpa-ROMEIO.

You'll need to write to the publisher yourself and ask for permission. Include the information given above, and make sure that it's clear that the article will be made available as part of your thesis, and that it will be publicly available in an institutional repository.

My thesis includes photos of various art works – do I need to get copyright permission for these?

Yes. If the photos first appeared in a book, please contact the publisher of the book in the first instance. If you took the photos of the original artworks and the creator is alive, seek permission directly. If the creator died less than 50 years ago, the works are still in copyright, and permission must be sought from the creator's estate.

Can I reproduce a diagram or figure from another publication?

Only with the permission of the copyright holder, as diagram or figures are considered to be complete works. They are included in the definition of Artistic Works under the Copyright Act.

I've tried my hardest and I can't track down the copyright holder OR I have, but they have not given me permission.

List the parts of your thesis which do not have copyright permission in a separate file. Add this to the copyright form RF7. These will not be made available electronically – instead there will be a notice indicating that for copyright reasons the material is not available. If the material is a journal article or book chapter, the bibliographic details only will be given.

Thesis with publications

We recommend you request permission to make your publication available online in an open access institutional repository at the time of acceptance, that is, before copyright is transferred.

If you didn't, you will need to check whether the journal publisher(s) allow these articles to be placed in an online institutional repository. The Copyright Transfer Agreement (CTA) you signed when the article was accepted should include this. If not check the journal on Sherpa-ROMEO (<http://www.sherpa.ac.uk/romeo/>) to see if they have a general policy.

If you are still not sure, you will need to contact the publisher and ask for permission.

Articles that have an embargo on appearing on institutional repositories are dealt with in the next section.

Further information

Copyright is complex and knowing what is and isn't covered by copyright is not always straightforward. If you are unsure, please email copyright@massey.ac.nz with questions.

EMBARGOING THESES

Theses should be publicly available unless there are good grounds for restriction of access. Students should read Massey's [Grounds for Embargo Policy](#). The most common grounds are to avoid:

- disclosure of commercially sensitive information.
- disclosure of personal or private information.
- disclosure of research before the author of the thesis has had reasonable opportunity to publish all or part of the thesis.
- disclosure of material that has been provided on the condition that it not be disclosed.

Most academic journal publishers do not consider theses as 'prior publications' so your thesis being on MRO should not affect having articles based on it accepted.

Thesis with publications

If a chapter (or chapters) is the accepted manuscript it may be subject to a 12-24 month journal embargo period, particularly if you did not obtain permission from the journal publisher to include it in your thesis. In this case, the whole thesis will be embargoed for the required period. Please fill out the embargo form as early as possible. Tick 'other' and write *Chapters [please specify which] already accepted for publication/ in print.*

To apply to embargo your thesis:

- Complete the form: [Application to Embargo a Thesis](#). This can be downloaded from the [Thesis submission and publishing](#) page.
- The application must be completed and approved before the thesis is submitted, and a copy of the application included in the thesis. This application should be placed immediately before the title page.
- In the case of the full digital copy of an embargoed thesis, the author, title, abstract and metadata will still be publicly accessible through Massey Research Online, while the embargo is in place. Please do not include sensitive information in the thesis abstract. If you have concerns, please discuss them when you submit your embargo application to the Graduate Research School, Research & Enterprise.